

PA 1278456

# THE UNITED STATES OF AMERICA

**TO ALL TO WHOM THESE PRESENTS SHALL COME:**

**UNITED STATES DEPARTMENT OF COMMERCE**

**United States Patent and Trademark Office**

**February 01, 2005**

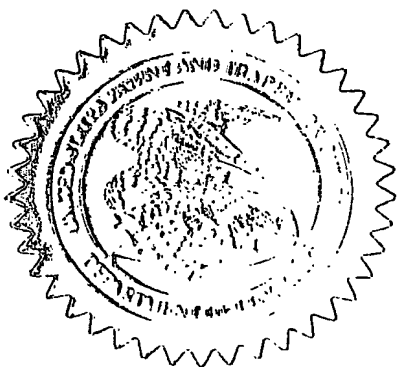
**THIS IS TO CERTIFY THAT ANNEXED HERETO IS A TRUE COPY FROM  
THE RECORDS OF THE UNITED STATES PATENT AND TRADEMARK  
OFFICE OF THOSE PAPERS OF THE BELOW IDENTIFIED PATENT  
APPLICATION THAT MET THE REQUIREMENTS TO BE GRANTED A  
FILING DATE UNDER 35 USC 111.**

**APPLICATION NUMBER: 60/515,697**

**FILING DATE: *October 31, 2003***

*1B/04/3559*

**By Authority of the  
COMMISSIONER OF PATENTS AND TRADEMARKS**



*M. K. Hawkins*  
**M. K. HAWKINS**  
Certifying Officer

**BEST AVAILABLE COPY**

Please type a plus sign (+) inside this box →



PTO/SB16 (02-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**PROVISIONAL APPLICATION FOR PATENT COVER SHEET**

This is a request for filing a PROVISIONAL APPLICATION FOR PATENT under 37 CFR 1.53(c).

Express Mail Label No.

2387 U.S. PTO  
60/515697

103103

INVENTOR(S)					
Given Name (first and middle [if any])	Family Name or Surname	Residence (City and either State or Foreign Country)			
Guobin	MA	Dorval, Canada			
Frédéric	LESAGE	Montreal, Canada			
<input checked="" type="checkbox"/> Additional inventors are being named on the <u>1</u> separately numbered sheets attached hereto					
TITLE OF THE INVENTION (280 characters max)					
RAPAMYCIN PEPTIDES CONJUGATES: SYNTHESIS AND USES THEREOF					
Direct all correspondence to: CORRESPONDENCE ADDRESS					
<input checked="" type="checkbox"/> Customer Number		020988		Place Customer Number Bar Code Label here	
OR Type Customer Number here					
<input type="checkbox"/> Firm or Individual Name		OGILVY RENAULT			
Address		1981 McGill College Avenue			
Address		Suite 1600			
City		Montreal	State	Quebec	ZIP H3A 2Y3
Country		Canada	Telephone	613-780-8661	Fax 613-230-6706
ENCLOSED APPLICATION PARTS (check all that apply)					
<input checked="" type="checkbox"/> Specification		Number of Pages	19	<input type="checkbox"/> CD(s), Number	
<input checked="" type="checkbox"/> Drawing(s)		Number of Sheets	3	<input checked="" type="checkbox"/> Other (specify)	Fee Transmittal PTO/SB17
<input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76					
METHOD OF PAYMENT OF FILING FEES FOR THIS PROVISIONAL APPLICATION FOR PATENT					
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.				FILING FEE AMOUNT (\$)	
<input type="checkbox"/> A check or money order is enclosed to cover the filing fees				160.00	
<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge filing fees or credit any overpayment to Deposit Account Number:		19-5113			
<input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.					
The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government.					
<input checked="" type="checkbox"/> No.					
<input type="checkbox"/> Yes, the name of the U.S. Government agency and the Government contract number are: _____					

Respectfully submitted,

SIGNATURE

Date 31/ 10 / 03

REGISTRATION NO.

(if appropriate)

Docket Number:

44,485

15814-11USPR

TYPED or PRINTED NAME Wayne H. YAN

TELEPHONE 613-780-8682

**USE ONLY FOR FILING A PROVISIONAL APPLICATION FOR PATENT**

This collection of information is required by 37 CFR 1.51. The information is used by the public to file (and by the PTO to process) a provisional application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the complete provisional application to the PTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Box Provisional Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

BEST AVAILABLE COPY

# **PROVISIONAL APPLICATION COVER SHEET** **Additional Page**

PTO/SB/16 (02-01)  
 Approved for use through 10/31/2002. OMB 0851-0032  
 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Docket Number		15814-11USPR	Type a plus sign (+) inside this box. →	+
INVENTOR(S)/APPLICANT(S)				
Given Name (first and middle (if any))	Family or Surname	Residence (City and either State or Foreign Country)		
David	HALL	Montreal, Canada		

Number 2 of 2

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**BEST AVAILABLE COPY**

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL**  
**for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

**Complete If Known**

Application Number	
Filing Date	
First Named Inventor	MA, Guobin
Examiner Name	
Art Unit	
Attorney Docket No.	15186-46USPR WHY/LB/sw

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT**

(\$ 160)

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit  
Account  
Number  
Deposit  
Account  
Name

19-5113

Ogilvy Renault

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 180	2005 80	Provisional filing fee	160
<b>SUBTOTAL (1)</b>			<b>(\$ 160)</b>

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 8	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 8	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)**

(\$ 0)

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.128(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.125(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)**

(\$ 0)

**SUBMITTED BY**

Name (Print/Type)

Wayne H. Yan

Registration No.  
(Attorney/Agent)

44,485

(Complete if applicable)

Telephone 613-780-8673

Signature

Date

October 31, 2003

**WARNING: Information on this form may become public. Credit card information should not be included in this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**APPLICATION INFORMATION**

Application number::

Filing Date::

Application Type::

Title::

Provisional

**A TIME-DOMAIN METHOD FOR DETERMINING THE  
DEPTH OF A FLUOROPHORE IN A TURBID MEDIUM  
AND ESTIMATING THE FLUOROPHORE  
CONCENTRATION**

Attorney Docket Number::

15186-46USPR

Total Drawing Sheets::

3

Small Entity?::

No

**INVENTOR INFORMATION**

Inventor Authority Type::

Inventor

Primary Citizenship Country::

China

Status::

Full Capacity

Given name::

Guobin

Middle name::

Family name::

MA

Name Suffix::

City of Residence::

Dorval

State or Province of Residence::

Quebec

Country of Residence::

Canada

Street::

307 Legace Avenue

City::

Dorval

State or Province::

Quebec

Country::

Canada

Postal or Zip Code::

H9S 2M2

Inventor Authority Type::

Inventor

Primary Citizenship Country::

Canadian

Status::

Full Capacity

Given name::

Frédéric

Middle name::

Family name::

LESAGE

Name Suffix::

City of Residence::

Montreal

State or Province of Residence::

Quebec

Country of Residence::

Canada

Street:: 5192 Chabot  
City:: Montreal  
State or Province:: Quebec  
Country:: Canada  
Postal or Zip Code:: H2H 1Y8  
Inventor Authority Type:: Inventor  
Primary Citizenship Country:: Great Britain  
Status:: Full Capacity  
Given name:: David  
Middle name::  
Family name:: HALL  
Name Suffix::  
City of Residence:: Montreal  
State or Province of Residence:: Quebec  
Country of Residence:: Canada  
Street:: #1005 - 3445 Rue Drummond  
City:: Montreal  
State or Province:: Quebec  
Country:: Canada  
Postal or Zip Code:: H3G 1X9

**CORRESPONDENCE INFORMATION**

Correspondence Customer Number:: 020988  
Phone number:: (514) 845-7126  
Fax:: (514) 288-8389  
E-Mail Address:: swabey@ogilvyrenault.com

**REPRESENTATIVE INFORMATION**

Representative Customer Number:: 020988

**ASSIGNEE INFORMATION**

Assignee name:: ART Recherches et Technologies Avancées Inc.  
Street:: 2300 Blvd. Alfred-Nobel  
  
City:: St-Laurent  
State or Province:: Quebec  
Country:: Canada  
Postal or Zip Code:: H4S 2A4



**Direct Dial: (613) 780-8601**  
**Direct Fax: (613) 230-6706**  
[lberube@ogilvyrenault.com](mailto:lberube@ogilvyrenault.com)

Ottawa, October 31, 2003

**Mail Stop Provisional Patent Application**

Commissioner for Patents  
United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450  
U.S.A.

Sir:

**Re: New United States Provisional Patent Application**  
**Title: A TIME-DOMAIN METHOD FOR DETERMINING THE DEPTH**  
**OF A FLUOROPHORE IN A TURBID MEDIUM AND**  
**ESTIMATING THE FLUOROPHORE CONCENTRATION**  
**Inventors: MA, Guobin, LESAGE, Frédéric and HALL, David**  
**Our File: 15186-46USPR WHY/LB/sw**

---

Transmitted herewith for filing is the provisional patent application of:

**INVENTORS: MA, Guobin**  
**LESAGE, Frédéric**  
**HALL, David**

**TITLE OF THE INVENTION:**

**A TIME-DOMAIN METHOD FOR DETERMINING THE DEPTH OF A**  
**FLUOROPHORE IN A TURBID MEDIUM AND ESTIMATING THE FLUOROPHORE**  
**CONCENTRATION**

The following documents are enclosed:

- a) Fee Transmittal Form PTO/SB/17 (in duplicate) (2 pgs.)  
for Filing Fee of \$160.00 (Deposit Acct. 19-5113)

---

Barristers & Solicitors  
Patent & Trade-mark Agents

Suite 1600  
45 O'Connor Street  
Ottawa, Ontario  
Canada K1P 1A4

Telephone (613) 780-8661  
Fax (613) 230-5459  
[ogilvyrenault.com](http://ogilvyrenault.com)

Continuing the practices of  
Melghen Demers  
Ogilvy Renault  
Swabey Ogilvy Renault

Montréal • Ottawa • Québec • Toronto • Vancouver • London (England)

**BEST AVAILABLE COPY**





Page 2

- b) Application Data Sheet (3 pgs.)
- c) Provisional application cover sheet (2 pgs.)
- d) Specification and 10 claims (19 pgs.)

The Commissioner is hereby authorized to charge the filing fee and any additional fees which may be required, or credit any overpayment to our Account No. 19-5113.

Please note that the address of the agents for the applicant in this matter should be the Montreal address identified in the enclosed Provisional Application Cover Sheet. Correspondence may be directed to Wayne H. Yan at the Montreal address of Ogilvy Renault.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Wayne H. Yan", written over a horizontal line.

Wayne H. Yan  
Reg. No. 44,485  
Agent of Record

WHY/LB/sw  
Encls.

File No. 15186-46USPR

- 1 -

A TIME-DOMAIN METHOD FOR DETERMINING THE DEPTH  
OF A FLUOROPHORE IN A TURBID MEDIUM AND  
ESTIMATING THE FLUOROPHORE CONCENTRATION

CROSS-REFERENCE TO RELATED APPLICATIONS

[0001] This is the first application filed for the present invention.

TECHNICAL FIELD

[0002] This application relates to fluorescence measurements in turbid media and more specifically to fluorescence measurements using the time domain optical method.

BACKGROUND OF THE INVENTION

[0003] Optical fluorescence imaging of turbid media, e.g. biological tissue, is primarily achieved with Continuous Wave (CW) methods. Typically a light source is employed to illuminate the object of interest, e.g. a mouse in vivo, and the emitted CW fluorescence intensity signal from the fluorophore is measured directly with a CCD camera, e.g. AntiCancer Inc.

[0004] In this context, it is often useful to determine the concentration and depth of the fluorophore. However, to assume that the direct CW fluorescence intensity signal is proportional to the fluorophore concentration can be misleading since the depth of the fluorophore will also impact the CW fluorescence intensity signal. In fact, given a single CW source and CW detector measurement it is impossible to decouple fluorophore concentration and depth.

**BEST AVAILABLE COPY**

File No. 15186-46USPR

- 2 -

[0005] To address this problem of decoupling depth and concentration in CW measurements, some researchers are exploring CW tomography, in order to reconstruct a three-dimensional distribution of the fluorphore concentration in the object, thereby attempting to account for the depth of the fluorphore. However, these tomographic approaches require multiple source-detector pair measurements from many angles, combined with complex, computer intensive inversion algorithms. Furthermore, CW tomography requires an assumption about the scattering coefficient of the object, since CW can neither decouple the intrinsic absorption and scattering of the object.

[0006] The most complete description of photon migration in turbid media is provided by Time Domain (TD) optical methods which have previously been used to decouple the attenuation coefficient, given from CW intensity measurements, into the underlying absorption and scattering coefficients. However TD methods have not been applied to obtain depth and concentration of fluorophores.

#### SUMMARY OF THE INVENTION

[0007] The invention relates to the measurement of fluorophores position and concentration within a turbid medium. More specifically the invention relates to the measurement of fluorophores position and concentration within a turbid medium using Time Domain (TD) optical method.

[0008] There is provided a method wherein TD optical methods are used to decouple fluorophore depth and

BEST AVAILABLE COPY

File No. 15186-46USPR

- 3 -

concentration from a single source and detector measurement employing a direct analysis technique, thereby avoiding the aforementioned burden of CW tomography and overcoming the limitations of conventional CW direct fluorescence intensity imaging.

[0009] In one embodiment there is provided method for determining the depth of a volume comprising a fluorophore in a turbid medium using time domain(TD) optical fluorescence by obtaining Temporal Point Spread Function (TPSF), data corresponding to at least one TPSF, by injecting light at an injection point at an excitation wavelength of the fluorophore and detecting light at a detection point at an emission wavelength of the fluorophore, the injection and detection points being in a reflection geometry and substantially equidistant from said volume, determining a time ( $t_{\max}$ ) substantially corresponding to the maximum of the TPSF and correlating  $t_{\max}$  with the depth.

[0010] In another embodiment there is also provided a method for estimating the concentration of a fluorophore in a volume of a turbid medium using optical fluorescence by obtaining depth of the volume, providing optical properties for the medium, obtaining a CW intensity surface reflection measurement of the fluorophore, and normalizing the CW intensity measurements using optical properties of the medium and the fluorophore depth to obtain a relative fluorophore concentration and/or absolute concentration.

[0011] In yet a further embodiment there is provided a method for generating a tomographic image of a fluorophore distribution in a turbid medium by obtaining a topographic

BEST AVAILABLE COPY

File No. 15186-46USPR

- 4 -

image of the fluorophore distribution, determining the depth of a plurality of volumes of interest comprising the fluorophore using depth determination methods of the present invention, and combining the depth information and the topographic image to generate a tomographic image of the fluorophore distribution.

#### BRIEF DESCRIPTION OF THE DRAWINGS

[0012] Further features and advantages of the present invention will become apparent from the following detailed description, taken in combination with the appended drawings, in which:

[0013] Fig. 1 is a schematic representation of a preferred fluorescence signal acquisition;

[0014] Fig. 2 is a 3-dimensional graphic relating  $t_{\max}$ , depth of fluorophore and the concentration of the fluorophore; and

[0015] Fig. 3 is a 3-dimensional graphic relating CW signal intensity, depth of fluorophore and the concentration of the fluorophore.

[0016] It will be noted that throughout the appended drawings, like features are identified by like reference numerals.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

[0017] In the following description it will be appreciated that CW intensity can be obtained using direct CW measurements or by integrating a Temporal Point Spread Function (TPSF) obtained by the time domain (TD) method.

BEST AVAILABLE COPY

File No. 15186-46USPR

- 5 -

[0018] It will also be appreciated that the term fluorophore can refer to either an extrinsic fluorophore which is understood to be a fluorophore that is added to a given medium or an intrinsic fluorophore which is understood to be a fluorophore that is normally comprised in a given medium. For example biological tissue may comprise molecules that naturally fluoresce and are therefore intrinsic fluorophores.

[0019] The fluorescence emission from a fluorophore embedded in a turbid medium can be measured over time using time domain optical fluorescence to generate Temporal Point Spread Function (TPSF) data. Figure 1 depicts a preferred arrangement used to obtain the TPSF. One or more fluorophore is included in a turbid medium and excitation light is injected at injection point 10. The photons diffuse and a certain fraction of the photons eventually reach the fluorophore molecules contained in a volume of interest (VOI) 14 which are thereby excited. The molecules then emit a fluorescence signal. The emission photons will diffuse and a fraction of them will reach detection point 12 to produce TPSF data.

[0020] TD fluorescence experiments were conducted with a single source-detector measurement for a small inclusion of fixed fluorophore concentration submerged into a turbid, liquid medium. It was observed that the temporal position of the maximum of the TPSF generated by the fluorescent photons ( $t_{max}$ ) increased with the depth of the submersion of the inclusion in the turbid medium (see figure 1).

[0021] TD fluorescence experiments were also conducted with a single source-detector measurement for small

BEST AVAILABLE COPY

File No. 15186-46USPR

- 6 -

inclusions of various fluorophore concentrations submerged at fixed depths in a turbid, liquid medium. It was observed that  $t_{\max}$  generated by the fluorescent photons remained constant for inclusions of the same submersion depth, regardless of their fluorophore concentration (also shown in Figure 2). Hence it was demonstrated that  $t_{\max}$  from a fluorophore inclusion permits decoupling of its depth from its concentration.

[0022] For the above series of experiments CW data was also generated by temporally integrating the complete fluorescent TPSF. As expected, the CW fluorescence intensity decreased as the depth of the fixed fluorophore concentration increased, but it also decreased as the fluorophore concentration of inclusions at a fixed depth decreased, as shown in Figure 3. Hence, it was demonstrated that direct CW fluorescence intensity measurements alone cannot decouple fluorophore inclusion concentration from depth.

[0023] In a preferred embodiment the acquisition of the fluorescence signal is performed in the reflective mode, that is to say, the injection and detection points are substantially equidistant from the volume comprising the fluorophore.

[0024] Thus in one embodiment of the invention the depth of a volume comprising a fluorophore can be determined by establishing a calibration curve for which  $t_{\max}$  measurements are obtained for known depth of the volume and using this calibration curve to estimate the depth of a volume which is originally unknown.

BEST AVAILABLE COPY

File No. 15186-46USPR

- 7 -

[0025] It will be appreciated that the depth of more than one fluorophore can be assessed by detecting a different emission wavelength for each of the fluorophore provided that their emission spectra do not overlap.

[0026] In another aspect of the present invention there is provided a method wherein an analytical relationship between  $t_{\max}$  and optical characteristics of the medium is used to calculate the depth of the fluorophore.

[0027] Under certain assumptions such as assuming that the optical properties of the medium are the same at the excitation and emission wavelength, the fluorescence intensity as a function of time can be expressed by the Born approximation:

$$[0028] \quad \phi(t) \cong \sum_{\text{dipoles}} \left( QC \frac{r_{sp} + r_{pd}}{4\pi D r_{sp} r_{pd}} v (4\pi D v t)^{-3/2} e^{-\frac{(r_{sp} + r_{pd})^2}{4 D v t}} - \mu_a v t \right) * \left( \frac{e^{-t/\tau}}{\tau} \right) * (\text{impulse Response})$$

(equation 1)

[0029] Where:

[0030]  $r_{sp}$  is the distance from source (s) to fluorophore depth position (p);

[0031]  $r_{pd}$  is the distance from fluorophore depth position (p) to detector (d);

[0032]  $\mu_a$  is the optical absorption coefficient;

[0033]  $D$  is the optical diffusion coefficient; and

[0034]  $v$  is the speed of light in the medium

BEST AVAILABLE COPY



File No. 15186-46USPR

- 8 -

[0035] By setting the first derivative of equation 1 as a function of time equal to zero, the maximum of the TPSF ( $t_{\max}$ ) can be found. Under certain approximations, and by assuming that  $r_{sp}$  is approximately equal to  $r_{pd}$ , it is found that:

[0036] 
$$t_{\max} \cong \frac{d\sqrt{\tau}}{\sqrt{Dv}} \quad (\text{equation 2})$$

[0037] where  $d$  is the depth of the fluorophore,  $D = \frac{1}{3\mu_s}$ .

It will be appreciated that  $t_{\max}$ , or any time point between the earliest detected signal (time "0") up to and including  $t_{\max}$  (and therefore  $d$ ) can be more accurately determined numerically using the full equation 1.

[0038] As can be seen the depth of the fluorophore is proportional to  $t_{\max}$ . In order to determine the depth of a volume comprising a fluorophore in a turbid medium, the speed of light and the scatter coefficient can be provided using known values for the medium. Alternatively, the scattering coefficient can be provided using the standard time domain approach by direct measurements.

[0039] The scattering and absorption coefficients can be determined at either the excitation and/or emission wavelengths of the fluorophore, since in a preferred embodiment the method assumes that these values are the same for the excitation and emission wavelengths. However, it is also possible to derive  $t_{\max}$  by using the scattering and absorption coefficients as determined at both the excitation and emission wavelengths of the fluorophore. In this respect, it will be appreciated that a more general form of equation 1 in which the absorption and scatter

BEST AVAILABLE COPY

File No. 15186-46USPR

- 9 -

coefficients, determined at both the emission and excitation wavelengths, are used. is also encompassed in the present invention.

[0040] The calculation is based in part on the assumption that the distance between the point of light injection at the surface and the fluorophore and between the fluorophore and the point of fluorescence emission detection at the same surface is substantially the same (figure 1). Determination of the injection and detection points relative to the volume of interest for this reflection geometry can be achieved, for example by obtaining a topographic image of the fluorophore in a region of interest. In this respect the 2D (topographic) image may be obtained by optical modalities such as TD, CW and frequency domain (FD).

[0041] Once the injection and detection points have been determined, TPSF data is then acquired by injecting pulses of light at an excitation wavelength of the fluorophore at the injection point and by detecting as a function of time the fluorescence emission at an emission wavelength. TPSF generation using TD optical method are well known in the art. The time at which the TPSF reaches a maximum ( $t_{max}$ ) is then recorded and used in the above relationships (either equation 1 or equation 2) to determine the depth of the fluorophore.

[0042] It will be appreciated that a single injection/detection points pair is sufficient to determine the depth, therefore providing a rapid method for determination of depth. However, it is also possible to use

BEST AVAILABLE COPY

File No. 15186-46USPR

- 10 -

multiple injection/detection pairs to improve the accuracy of depth determination.

[0043] For a given depth, the surface CW intensity can be related to fluorophore concentration by the optical properties of the medium (absorption and scattering coefficients). Thus, in another aspect of the invention, estimates of the relative concentration of the fluorophore, *Conc. Relative*, can be obtained by determining its depth, *d*, using the method described above, and normalizing the surface CW intensity measurement, *CW*, as follows (Equation 3):

[0044] 
$$\text{Conc.}_{\text{Relative}} = CW d^2 e^{2d\sqrt{\mu_a/\lambda}}$$
 (equation 3)

[0045] under certain assumptions, Equation 3 can be derived from equation 1.

[0046] This method provide a means for rapidly compare the relative concentration of a fluorophore at 2 or more distinct locations. The determination of the relative concentration of a fluorescently labeled antibody in different organs of an animal provides a non-limiting example.

[0047] Relative concentration can be further processed to estimates of absolute concentration if the CW surface measurement is absolutely calibrated. That is to say a calibration curve can be established for CW using known concentration of the fluorophore. The scattering and absorption coefficient can be provided using known values for the medium or alternatively may be provided using the standard time domain approach by direct measurements. It will also be appreciated that the CW surface intensity may

BEST AVAILABLE COPY

File No. 15186-46USPR

- 11 -

be provided by temporally integrating the time domain fluorescent measurement.

[0048] By further analyzing the CW fluorescence intensity data from the above experiments, it was possible to calibrate the optical attenuation of the turbid medium. In other words, for the inclusion of fixed fluorophore concentration, the optical attenuation can be obtained by fitting the decrease of CW fluorescence intensity measured by the surface detector as the inclusion depth increases. The same optical attenuation can be used to describe the decrease of CW fluorescence intensity of fluorophore with other concentrations as the inclusion depth increases.

[0049] Given the above series of experiment, the inclusion may be submerged at unknown depth and concentration in the turbid medium. As stated above, direct CW fluorescence intensity measurements alone cannot decouple the inclusion depth from its fluorophore concentration. However TD experiments can provide  $t_{max}$  which yields the inclusion depth. Once the inclusion depth is known, the calibrated optical attenuation of the turbid medium can be used to normalise the CW fluorescence intensity measurement to yield the relative concentration of the fluorophore inclusion. Further, an absolute calibration of the CW intensity measurements permits estimates of the absolute concentration.

[0050] In yet a further embodiment of the invention there is provided a method for generating a tomographic image of a fluorophore distribution. Topographic images of a region of interest can be obtained using well known method such as

1ST AVAILABLE COPY

File No. 15186-46USPR

- 12 -

CW or TD optical imaging. Such topographic images can be combined with the depth and/or concentration information obtained by the methods described above to generate a 3-dimension (tomographic) image of a volume of interest comprising one or more fluorophores.

[0051] It is also obvious to those skilled in the art that the above time domain approach can be obtained with frequency domain approach via the Fourier transform relationship.

[0052] The embodiment(s) of the invention described above is(are) intended to be exemplary only. The scope of the invention is therefore intended to be limited solely by the scope of the appended claims.

BEST AVAILABLE COPY

File No. 15186-46USPR

- 13 -

I/WE CLAIM:

1. A method for determining depth of a volume comprising a fluorophore in a turbid medium using time domain(TD) optical fluorescence, said method comprising:
  - i) obtaining Temporal Point Spread Function (TPSF) data corresponding to at least one TPSF by injecting light at an injection point at an excitation wavelength of said fluorophore and detecting light at a detection point at an emission wavelength of said fluorophore and wherein said injection and detection points are in a reflection geometry and are substantially equidistant from said volume;
  - ii) determining a time ( $t_{max}$ ) substantially corresponding to the maximum of said TPSF;
  - iii) correlating said  $t_{max}$  with said depth, wherein said depth is insensitive to fluorophore concentration.
2. The method as claimed in claim 1 wherein said step of correlating comprises:
  - a) establishing a calibration curve of  $t_{max}$  vs depth for a plurality of depths;
  - b) using said calibration curve and said  $t_{max}$  determined in step ii) to obtain said depth of said volume.
3. The method as claimed in claim 1 wherein said step of correlating comprises:

BEST AVAILABLE COPY

File No. 15186-46USPR

- 14 -

- a) providing at least one optical property of said medium, speed of light in said medium and a lifetime of said fluorophore;
  - b) determining a calculated depth using said  $t_{\max}$  and said at least one optical property, said speed of light and said lifetime.
- 4. The method as claimed in claim 3 wherein said at least one optical property is scatter coefficient.
  - 5. The method as claimed in claim 1 further comprising a step of estimating a position of said fluorophore prior to said step of obtaining said TPSF.
  - 6. The method as claimed in claim 5 wherein said step of estimating is performed by obtaining a topographic image of a region of interest containing said fluorophore.
  - 7. The method according to claim 1 wherein said fluorophore is an intrinsic fluorophore.
  - 8. The method as claimed in claim 3 wherein said at least one optical property is obtained using time domain optical measurements of said medium.
  - 9. The method as claimed in claim 3 wherein said at least one optical property is estimated.
  - 10. The method as claimed in claim 3 wherein said at least one optical property is obtained by matching said medium with predetermined optical properties of media in a database.

BEST AVAILABLE COPY

File No. 15186-46USPR

- 15 -

11. The method as claimed in claim 3, wherein said at least one optical property and said speed of light are substantially the same at both the excitation or emission wavelength of the fluorophore and are determined at either said emission or said excitation wavelength.
12. The method as claimed in claim 3, wherein said at least one optical property and said speed of light are determined at the fluorophore excitation and emission wavelength.
13. The method as claimed in claim 3, wherein said at least one optical property and said speed of light are determined taking into account optical properties of the turbid medium at said fluorophore location.
14. A method for estimating concentration of fluorophore in a volume in a turbid medium using optical fluorescence, said method comprising:
  - i) obtaining depth of said volume;
  - ii) providing optical properties for said medium;
  - iii) obtaining a CW intensity surface reflection measurement of said fluorophore; and
  - iv) normalizing said CW intensity measurements using said optical properties and said fluorophore depth to obtain a relative fluorophore concentration.
15. The method as claimed in claim 14 wherein said depth is obtained by the method of any one of claim 1-13.

BEST AVAILABLE COPY



File No. 15186-46USPR

- 16 -

16. The method as claimed in claim 14 wherein said optical properties are obtained using time domain optical measurements of said medium.
17. The method as claimed in claim 14 wherein said optical properties are estimated.
18. The method as claimed in claim 14 wherein said optical properties are obtained by matching said medium with predetermined optical properties of media in a database.
19. The method as claimed in claim 14, wherein said optical properties are substantially the same at both the excitation or emission wavelength of the fluorophore and are determined at either said emission or said excitation wavelength.
20. The method as claimed in claim 14, wherein said optical properties are determined at the fluorophore excitation and emission wavelength.
21. The method as claimed in claim 14, wherein said optical properties are determined taking into account optical properties of the turbid medium at said fluorophore location.
22. A method for determining concentration of fluorophore in a volume in a turbid medium using optical fluorescence, said method comprising:
  - i) obtaining depth of said volume;
  - ii) providing optical properties for said medium;

---

BEST AVAILABLE COPY

File No. 15186-46USPR

- 17 -

- iii) obtaining a CW intensity surface reflection measurement of said fluorophore; and
  - iv) calibrating said CW intensity surface reflection measurement so as to obtain an absolute concentration of said fluorophore.
23. The method as claimed in claim 22 wherein said depth is obtained by the method of any one of claim 1-13.
24. The method as claimed in claim 22 wherein said optical properties are obtained using time domain optical measurements of said medium.
25. The method as claimed in claim 22 wherein said optical properties are estimated.
26. The method as claimed in claim 22 wherein said optical properties are obtained by matching said medium with predetermined optical properties of media in a database.
27. The method as claimed in claim 22, wherein said optical properties are substantially the same at both the excitation or emission wavelength of the fluorophore and are determined at either said emission or said excitation wavelength.
28. The method as claimed in claim 22, wherein said optical properties are determined at the fluorophore excitation and emission wavelength.
29. The method as claimed in claim 22, wherein said optical properties are determined taking into account

BEST AVAILABLE COPY

File No. 15186-46USPR

- 18 -

optical properties of the turbid medium at said fluorophore location.

30. A method for generating a tomographic image of a fluorophore distribution in a turbid medium said method comprising:
- i) obtaining a topographic image of said fluorophore distribution;
  - ii) determining depth of a plurality of volumes of interest comprising said fluorophore using the method as claimed in any one of claim 1-13;
  - iii) combining said depth information and said topographic image to generate a tomographic image of said distribution.
31. The method as claimed in claim 30 wherein said tomographic image is further processed with the method as claimed in any one of claim 14-29 to generate a tomographic relative fluorophore concentration or absolute fluorophore concentration image.
32. A method for determining a relative or absolute concentration of a fluorophore in a turbid medium said method comprising:
- i) establishing a calibration curve relating a continuous wave intensity measurement in said turbid medium and concentration and depth of said fluorophore;
  - ii) determining a depth of said fluorophore using the method as claimed in any one of claim 1-13; and

BEST AVAILABLE COPY

File No. 15186-46USPR

- 19 -

- iii) determining said concentration using said calibration curve.
33. A method for determining depth of a volume comprising a fluorophore in a turbid medium using time domain (TD) optical fluorescence, said method comprising:
- i) providing an equation relating fluorescence and time;
  - ii) obtaining Temporal Point Spread Function (TPSF) data corresponding to at least one TPSF by injecting light at an injection point at an excitation wavelength of said fluorophore and detecting light at a detection point at an emission wavelength of said fluorophore and wherein said injection and detection points are in a reflection geometry and are substantially equidistant from said volume;
  - iii) numerically solving said equation using said obtained TPSF data to determine a predetermined time  $t$  comprised between 0 and  $t_{max}$ ; and
  - iv) correlating said time  $t$  with said depth, wherein said depth is insensitive to fluorophore concentration.
34. The method as claimed in any one of claims 1-13, 15, 16, 23, 24 and 30-33 wherein time domain information is obtained by acquiring Frequency Domain (FD) data and applying Fourier transform to said data.

BEST AVAILABLE COPY

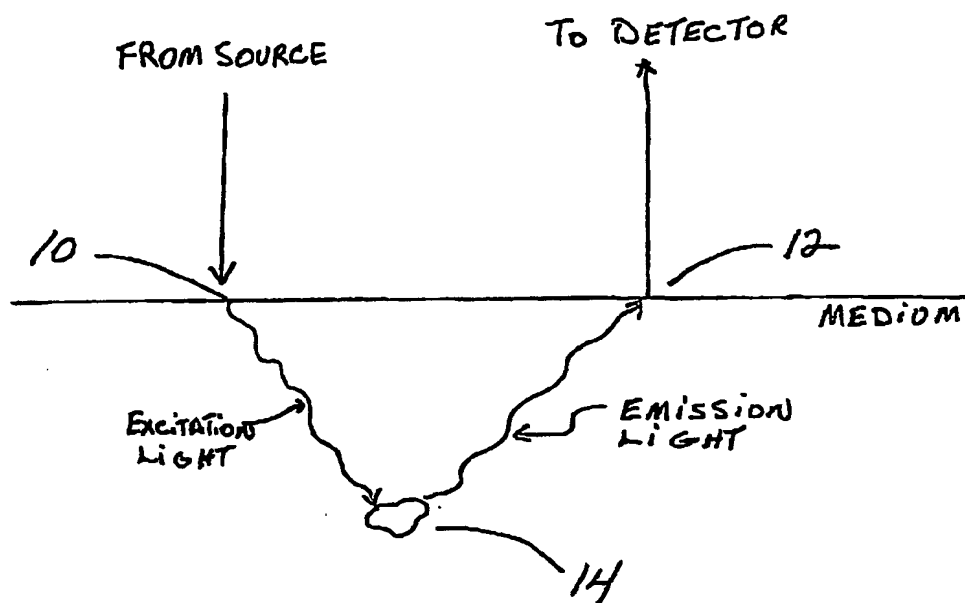
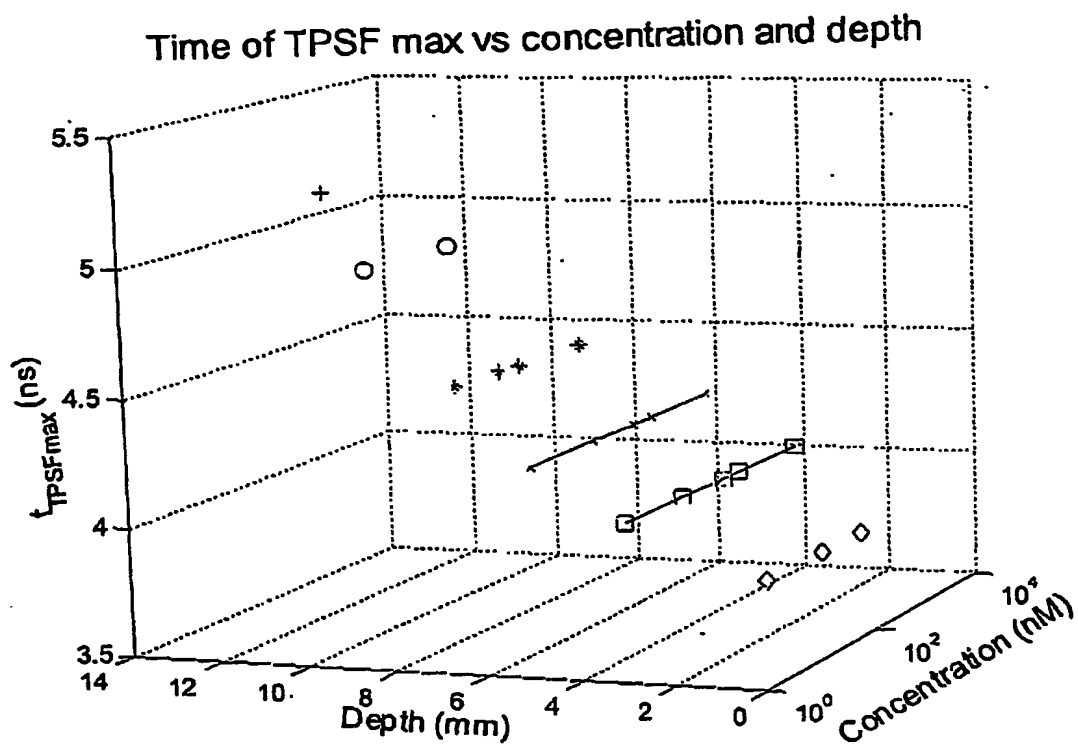
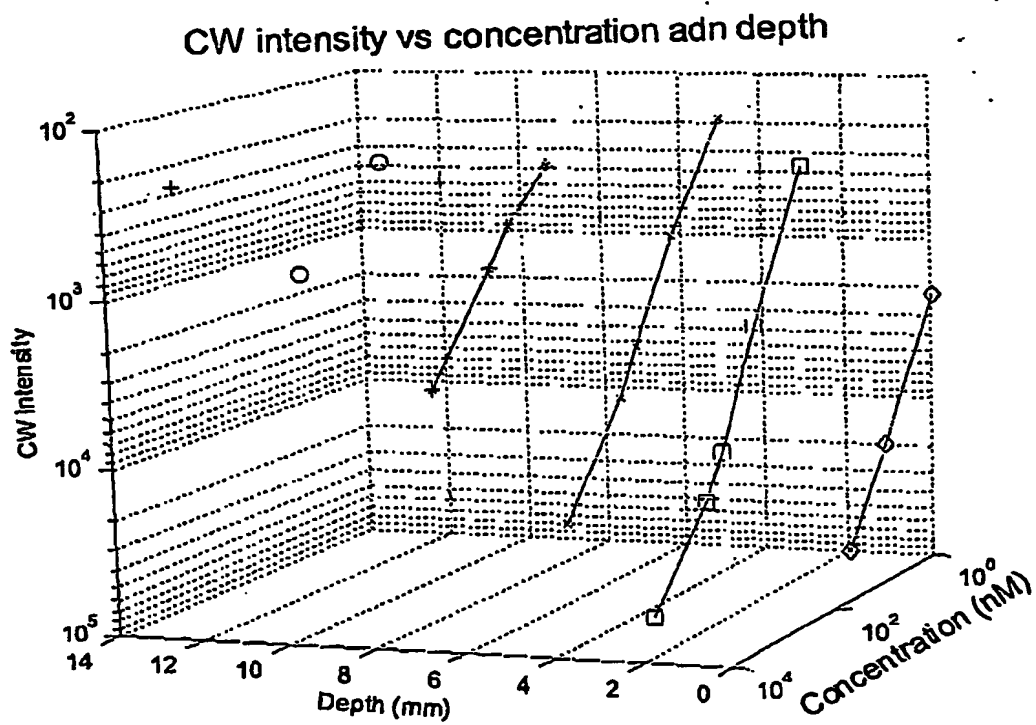


FIG. 1

**Fig. 2**



**Fig. 3**

# Document made available under the Patent Cooperation Treaty (PCT)

International application number: PCT/IB04/003559

International filing date: 29 October 2004 (29.10.2004)

Document type: Certified copy of priority document

Document details: Country/Office: US  
Number: 60/515,697  
Filing date: 31 October 2003 (31.10.2003)

Date of receipt at the International Bureau: 10 March 2005 (10.03.2005)

Remark: Priority document submitted or transmitted to the International Bureau in compliance with Rule 17.1(a) or (b)



World Intellectual Property Organization (WIPO) - Geneva, Switzerland  
Organisation Mondiale de la Propriété Intellectuelle (OMPI) - Genève, Suisse